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AUG 15 2005

Substitute for form 1448A/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as recessary)

Complete if Known

Application Number 10/698,569

Filing Date October 31, 2003

First Named Inventor Vargas, Jaime

Group Art Unit 3731

Examiner Name Unknown

Attorney Docket No: 147

	US PATENT DOCUMENTS							
	Examiner Ci Initial * No		Publication Date	Name of Patentee or Applicant of cited Occument	Pages, Cotumns Lines, Where Relevant Passages or Relevant Figures Appear			
Ì	13/	US-3,995,619	12/07/1978	Glatzer, Stephen G.				
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Approved for use through 07/31/2006, OMB 0651-0031
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INFORMATION DI	SCLOSURE	Application Number	10/698,569
STATEMENT BY A		Filing Date	October 31, 2003
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	1	Group Art Unit	3731
	APR 2 9 2005	Examiner Name	Unknown
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			ATENT DOCUMENTS	S
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines,Where Relevant Passages or Relevant Figures Appear
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1	US- 2002/0082614	02/27/2004	Logan, et al.	
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	US-5,976,178	11/02/1999	Goldsteen, et al.	
	US-5,979,920	08/25/1998	Kim	
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	US-6,254,651	06/07/1966	Collito	
	US-6,302,905	10/16/2001	Goldsteen, et al.	
	US-6,309,416	10/30/2001	Swanson, et al.	
	US-6,391,036	05/21/2002	Berg, et al.	
	US-6,461,320	10/08/2002	Yencho, et al.	
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	US-6,524,322	02/25/2003	Berreklouw	
1/	US-6,605,098	08/12/2003	Nobis, et al.	
1/	US-6,695,859	02/24/2004	Golden, et al.	

•	OTHER	R DOCUMENTS - NON PATENT LITERATURE DOCUMENTS	
Examiner initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	<u> </u>
W	· ·	"Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished)	
w		Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002)	

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.	SERIAL NO. N/A (0/698,569
APPLICANT: Vargas, Jaime et al.	,
FILING DATE: 10/31/03	GROUP: N/A

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XAMINER		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
INITIAL	4	2,370,776	3/1/1945	CARLSON			<u> </u>
M	 •.	3,254,650		COLLITO		 	
1	 	3,254,651	6/7/1966	COLLITO		 	
		3,519,187	7/7/1970	KAPITANOV, ET AL		┼──┼	
	+	3,774,615	11/27/1973	LIM, ET AL		+	
	+	4,118,806	10/10/1978	PORIER ET AL		 	
	 	4,214,587	7/29/1980	SAKURA, JR			
-		4,217,664	8/19/1980	FASO			
		4,350,160	9/21/1982	KOLESOV, ET AL			
		4,352,358	10/5/1982	ANGELCHIK		 	
		4,366,819	1/4/1983	KASTER			
	_	4,368,736	1/18/1983	KASTER		- 	
		4,503,568	3/12/198	MADRAS			
		4,523,529	6/18/198	DANIEL			
		4,534,761	8/13/198	RAIBLE		-}	
		4,553,542	11/19/198	SCHENCK, ET AL			
		4,577,631	3/25/198	KREAMER		/	 -
		4,589,416	5/20/198	GREEN			
		4,593,693		6 SCHENCK		 	
		4,603,693	8/5/198	6 CONTA, ET AL.		 	
	- 	4,607,637	8/26/198	6 BERGGREN, ET AL		<u> </u>	+
		4,624,255	11/25/198	6 SCHENCK, ET AL	<i>/</i>		
	 	4,624,257	11/25/198	6 BERGGREN, ET AL			
/-		4,657,019		7 WALSH, ET AL			
+-/-		4,665,906		7 JERVIS			
/		4,721,109		8 HEALEY			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES	LATION NO
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XAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
INITIAL	4,747,407	5/31/1988	LIU, ET AL		\rightarrow	
	4,752,024		GREEN, ET AL.			
	4,773,420	9/27/1988			 	
	4,861,330	8/29/1989	voss	ļ	 	<u> </u>
	4,875,815	10/1/1989	PHILLIPS II		+- <i> </i>	
	4,883,453	11/28/1989	BERRY, ET AL.		 	
	4,892,098	1/9/1990	SAUER	_	+ <i> </i>	
+_	4,907,591	3/13/1990	VASCONCELLOS, ET AL		₩	
	4,917,087	4/17/1990	WALSH, ET AL			
	4,917,090	4/17/1990	BERGGREN, ET AL		/	 -
	4,917,091		BERGGREN, ET AL		/	
	4,930,674		BARAK		<u> </u>	
	5,005,749	4/9/1991	I ARANYI	<i>-</i> -		
	5,015,238	5/14/199	I SOLOMON ET AL			
	5,062,842		1 TIFFANY			+
	5,089,006		2 STILES			
	5,100,423	3/1/199	2 FEARNOT, NEAL E.			+
	5,104,025	4/14/199	2 MAIN, ET AL.			-
	5,119,983	6/9/199	2 GREEN, ET AL			+
	5,129,913		2 RUPPERT			
	5,156,613	10/20/199	2 SAWYER			
	5,156,619	10/20/199	2 EHRENFELD			
	5,171,262	12/15/199	2 MACGREGOR			
	5,178,634	1/12/199	3 RAMOS MARTINEZ			
1.	5,187,796	2/16/199	3 WANG, ET AL.			+
-	5,192,289		3 JESSEN			

		FOREIGN	N PATENT DOCUMENTS			I TRANS	SLATION
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APPLICANT: Vargas, Jaime et al.	
FILING DATE: 10/31/03	GROUP: N/A

	· 	U	S. PATENT	DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS_	SUB CLASS	FILING DAT
111/1/2		5,193,731	3/16/1993	ARANYI		-	
<u> - υγ</u>		5,205,459		BRINKERHOFF, ET AL.		 	
-+	•	5,211,683	5/18/1993	MAGINOT		 	
	-	5,217,474		ZACCA, ET AL		 /	
		5,221,281	6/22/1993			 	
		5,222,963		BRINKERHOFF, ET AL.		 /	
		5,234,447	8/10/1993	KASTER, ET AL			
		5,250,058		MILLER, ET AL.	_	/	 -
- 	1	5,250,060		CARBO, ET AL		/	
+		5,271,544	12/21/1993	FOX, ET AL.	/	<u> </u>	
_	_	5,275,322	1/4/1994	BRINKERHOFF, ET AL.	-		
		5,285,945		BRINKERHOFF, ET. AL.			
		5,290,298		REBUFFAT, ET AL.			
- 		5,292,053	3/8/1994	BILOTTI, ET AL.			
		5,304,220		MAGINOT		 	
		5,314,435		GREEN, ET AL.		 	
		5,314,468		MARTINEZ			+
	_	5,326,205		4 ANSPACH, JR ET AL.			
		5,333,773	8/2/199	4MAIN, ET AL.			
		5,336,233		4 CHEN			
		5,350,104		4 MAIN, ET AL.			+
		5,354,302	10/11/199				+
_		5,364,389		4 ANDERSON			+
`	1./	5,366,462		4 KASTER, ET AL			
-+	/	5,392,979		5 GREEN, ET AL.			
		5,395,030	3/7/199	5 KURAMOTO, ET AL.			

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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APPLICANT: Vargas, Jaime et al.	
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
W	5,395,311		ANDREWS		 	
` `	5,401,131		YOSHINO			
	5,443,497	8/22/1995	VENBRUX			ļ
	5,447,514	9/5/1995	GERRY, ET AL.		ļ	
	5,454,825		VAN LEEUWEN			
	5,456,712	10/10/1995			 	
	5,456,714	10/10/1995	OWEN			
	5,464,449	11/7/1995	RYAN, ET AL			<u> </u>
	5,465,895	11/14/1995	KNODEL, ET AL.			
	5,478,354	12/26/1995			•	 ·
	5,503,635		SAUER, ET AL.			
•.	5,515,478	5/7/1996				
	5,522,834	6/4/1996	FONGER, ET AL			 -
	5,524,180		WANG, ET AL		4	
	5,533,661		MAIN, ET AL.			
	5,540,677	7/30/1996	SINOFSKY			
	5,553,198		WANG, ET AL.			
	5,556,405	9/17/199			<u> </u>	
	5,558,667		YARBOROUGH, ET AL		 	
	5,571,167		6 MAGINOT			
	5,603,721		7 LAU ET AL			
	5,643,340		7 NUNOKAWA			+
	5,645,520		7 NAKAMURA, ET AL.			
	5,657,429		7 WANG, ET AL.			+
	5,667,513		7 TORRIE, ET AL			
- W	5,667,520	9/1/199	7 BONUTTI, PETER M.	1		

		FOREIGN	PATENT DOCUMENTS			1	= =
EXAMINER	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES	LATION NO
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APPLICANT: Vargas, Jaime et al.		_
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		J.S. PATENT	DOCUMENTS		T	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
INITIAL	5,669,918	9/23/1997	BALAZS, ET AL		\	
- /-	5,669,934	9/23/1997	SAWYER	<u> </u>		
	5,676,670	10/14/1997	KIM			<u> </u>
	5,693,088		LAZARUS			
	5,695,504		GIFFORD III, ET AL			
	5,702,412		POPOV, ET AL			
	5,707,362	1/13/1998				
	5,707,380		HINCHLIFFE, ET AL			
	5,709,335	1/20/1998	HECK			ļ
	5,709,693	1/20/1998	TAYLOR		₩	
	5,725,544		RYGAARD			
	5,725,553		MOENNING		 	
	5,732,872		BOLDUC ET AL		₩	
	5,754,741		WANG, ET AL.		/ 	<u> </u>
	5,755,778		KLESHINSKI		H	
	5,762,458	6/9/1998	WANG, ET AL.	} <i></i>		
- - - - - - - - - - 	5,779,718		GREEN, ET AL.			
	5,792,135		MADHANI, ET AL.		- 	
	5,797,900		BMADHANI, ET AL.	_ 		
_	5,797,920	8/25/199				+
	5,799,661		8 BOYD, ET AL.			
	5,799,857		8 ROBERTSON, ET AL.			 -
	5,807,377	9/15/199	8 MADHANI, ET AL.	-+-+	_	
	5,814,073		8 BONUTTI			
 	5,815,640	9/29/199	8 WANG, ET AL.			+
4	5,817,113		8 GIFFORD III, ET AL			

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APPLICANT: Vargas, Jaime et al.	
FILING DATE: 10/31/03	GROUP: N/A

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DAT
W		5,827,316		YOUNG, ET AL.		<u> </u>	
		5,833,698	11/10/1998	HINCHLIFFE, ET AL.		 	
	1	5,833,698		HINCHLIFFE, ET AL.			
	1	5,841,950		WANG, ET AL.			
,		5,855,583		WANG, ET AL.			
	<u> </u>	5,868,761		NICHOLAS, ET AL.			
	 	5,868,763		SPENCE, ET AL.			
	1.	5,875,782		FERRARI, ET AL.			
	1	5,878,193		WANG, ET AL.			<u> </u>
	1	5,879,371		GARDINER, ET AL.			
		5,881,943		HECK ET AL			
		5,893,369		LEMOLE			
		5,904,697		GIFFORD III, ET AL			
	 	5,907,664		WANG, ET AL.		·	
	<u> </u>	5,911,036		WRIGHT, ET AL.		 	
	-	5,915,616		VIOLA, ET AL.			 -
		5,921,995		KLESHINSKI			
		5,944,730		NOBLES ET AL			
		5,947,363		BOLDUC ET AL			+
		5,957,363	9/28/1999			 	
		5,968,089		KRAJICEK			
		5,976,159		BOLDUC ET AL			+
		6,001,124		BACHINSKI			
1		6,007,544					
1/		6,013,190		BERG, ET AL.			
W		6,015,416	1/18/200	STEFANCHIK, ET AL.	L		

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EXAMINER	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
INITIAL	6,022,367		SHERTS			<u> </u>
	6,024,748		MANZO, ET AL.			
	6,030,370		KUPKA, ET AL.		↓ _/	ļ
	6,030,395		NASH, ET AL.		<u> </u>	ļ <u> </u>
	6,036,699	3/14/2000	ANDREAS, ET AL.		1	
	6,036,700	3/14/2000	STEFANCHIK, ET AL.		 	
	6,036,702		BACHINKSKI, ET AL.			ļ
	6,036,703		EVANS, ET AL.		11-	
	6,036,704	3/14/2000			1/	
	6,036,705		NASH, ET AL.		4	
	6,050,472		SHIBATA		 	
	6,053,390		GREEN, ET AL.		<u> </u>	ļ
	6,056,762		NASH, ET AL.	/		
_+	6,066,144		WOLF, ET AL.		/	<u> </u>
	6,066,148		RYGAARD		/	
	6,068,637		POPOV, ET AL.			
	6,074,416		BERG, ET AL.		<u> </u>	
	6,080,167					
- 	6,080,173		OWILLIAMSON, IV, ET AL.			
	6,083,234		ONICHOLAS, ET AL.			
	6,110,188		0 NARCISO, JR			
	6,113,612		0 SWANSON, ET AL			
	6,117,148		0 RAVO, ET AL.			
	6,120,432		0 SULLIVAN, ET AL.			
	6,132,439		0 KONTOS, STAVROS			
W	6,146,393	+	0 WAKABAYASHI			_]

	
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO. 147	SERIAL NO. N/A
APPLICANT: Vargas, Jaime et al.	
FILING DATE:	GROUP:
10/31/03	N/A

		J.S. PATENT	DOCUMENTS		T :	I
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DA
W	6,149,681	11/21/2000	HOUSER, ET AL.			<u> </u>
	6,152,937	11/28/2000	PETERSON, ET AL		 }	ļ
	6,152,945	11/28/2000	BACHINSKI, ET AL.			
	6,165,185	12/26/2000	SHENNIB ET AL		 - - - - - - - - -	
	6,167,889	1/2/2001	BENETTI		 	<u> </u>
	6,171,319	1/9/2001	NOBLES, ET AL.		 	
	6,171,321	1/9/2001	GIFFORD, III ET AL.			ļ
	6,176,413	1/23/2001	HECK, ET AL.		1/	
	6,176,864	1/23/2001	CHAPMAN	<u> </u>		ļ
<u> </u>	6,186,942	2/13/2001	SULLIVAN, ET AL.		Д	
 	6,187,019	2/13/2001	STEFANCHIK, ET AL.		<u> </u>	
	6,187,020	2/13/2001	ZEGDI, ET AL.		1	
	6,190,396	2/20/2001	WHITIN, ET AL.			
 	6,190,397	2/20/2001	SPENCE, ET AL.		_	<u> </u>
	6,190,590	2/20/2001	RANDALL, ET AL.		/	·
	6,193,129	2/27/2001	BITTNER, ET AL.		/	<u> </u>
1	6,193,734		BOLDUC, ET AL.			<u> </u>
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	6,235,054	5/22/200	BERG, ET AL.			
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1/	2002/0058955A1	12/1/200	1 BLATTER ET AL.			<u> </u>

OTHER DOCUMENTS (Including Autho	or, Title, Date, Pertinent Pages, etc.)
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EXAMINER: Initial if reference is considered, whe MPEP 609; Draw line through citation if not in cocopy of this form with next communication to a	nformance and not considered. Include a

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Use s	٧	ral she	ts if n	С	ssary

ATTY. DOCKET NO. 147	SERIAL NO.	
APPLICANT: Vargas, Jaime et al.		
FILING DATE:	GROUP:	
10/31/03	N/A	

EXAMINER	* DOOUNENT All		TENT DOCUMENTS COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
INITIAL	2316910		FRANCE			
w/	19732234		GERMANY			
	29713336		GERMANY			
	0 517 252		EUROPE			
	0 701 800		EUROPE			
	0 820 724		EUROPE		11	
	0 820 725		EUROPE	·	14	<u> </u>
	0 885 595		EUROPE		 	ļ <u></u>
	0 913 125	7/5/00	EUROPE		 	
	0 938 870	9/1/99	EUROPE		<u> </u>	<u> </u>
	0 990 420	12/27/00	EUROPE		/	
	00/09040	2/1/00			4	
	00/10486	3/2/00	PCT			<u> </u>
	00/12013	3/9/00	PCT			
	00/15144	3/23/0	PCT			
	00/15146	3/23/0	PCT			
	00/15147	3/23/0	OPCT			
	00/15148	3/23/0	0 PCT			
	00/15149	3/23/0				
	00/27310		0 PCT			
	00/27311	5/18/0			- 	
	00/27312	5/18/0				
-+	00/27313	5/18/0				+
	00/33745		OPCT			+
-+	00/41633		OPCT			
1/	00/53104	9/14/0	00 PCT			

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			DATE CONSIDERED:	1-16.
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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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AΠΥ. DOCKET NO.	SERIAL NO.	
APPLICANT: Vargas, Jaime et al.		
FILING DATE: 10/31/03	GROUP: N/A	

		UKEIGN PA	TENT DOCUMENTS			TRANSLATION
EXAMINER INITIAL /	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	YES/NO
INITIAL	00/56223	9/28/00	PCT			
	00/56226	9/28/00	PCT		 	
	00/56227	9/28/00	PCT			
	00/56228	9/28/00	PCT			
	00/59380	10/12/00	PCT			
	00/66007	11/9/00	PCT		 	
1.	00/66009	11/19/00	PCT			
	00/69343	11/23/00	PCT		+	
_	00/69346	11/23/00			 -	
	00/69349	11/23/00			1/	
	00/69364	11/23/00	PCT		+	
	00/72764	12/7/00	PCT			
	00/74579	12/14/00	PCT			
	00/76405	12/21/00	PCT		 	
	01/08601	2/8/01	PCT			 _
	01/12074	2/22/01	PCT		/ 	
	01/15607	3/8/01	I PCT		 	
- - -	01/17440	3/15/0	PCT	/	<u> </u>	
	01/19257	3/22/0	PCT			
	01/19259	3/22/0	1 PCT			-
	01/19284	3/22/0	1 PCT			ļ.·
	01/34037	5/17/0	1 PCT			
1.	92/08513	5/1/9	PCT			
	96/25886	8/29/9	6 PCT			
- 	97/25002	7/17/9	7 PCT	/	_	
	97/27898	8/1/9	7 PCT			· <u> </u>

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	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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FORM PTQ-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

(Us sev raish ts if nec ssary)

ATTY. DOCKET NO. 147	SERIAL NO.	
APPLICANT: Vargas, Jaime et al.		•
FILING DATE: 10/31/03	GROUP:	

	F	OREIGN PA	TENT DOCUMENTS		,	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO
	97/31575	9/4/97	PCT			
,	97/47261	12/18/97	PCT			
	98/07399	2/26/98	PCT			
	98/19608	5/14/98	PCT		1. /	
	98/19618	5/14/98	PCT		17	
	98/19625	5/14/98	PCT		\Box	
	98/19629	5/1/98	PCT		7	
	98/19630	5/1/98	PCT			
	98/19631	5/14/98	PCT			
	98/19632	5/14/98	PCT			
	98/19634	5/14/98	PCT	7		
	98/19636	5/14/98	PCT		1.	
	98/30153	7/16/98	PCT			
	98/37814	9/3/98	PCT			
·-	98/40036	9/17/98	PCT			
	98/42262	10/1/98	PCT			
	98/47430	10/29/98	PCT			
	98/55027	12/10/98	PCT			
	99/08603	2/25/99	PCT			
	99/11178	3/11/99	PCT			
	99/17665	4/1/99	PCT			
	99/18887	4/1/99	PCT			
	99/21491	5/1/99	PCT			
1	99/37218	7/29/99	PCT			
	99/38441	8/5/99	PCT			
	99/38454	8/1/99	PCT		-	

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	APPLICANT: Vargas, Jaime et al.		
(Use s v ral sheets if nec ssary)	FILING DATE: 10/31/03	GROUP: N/A	

		CALIGITE	TENT DOCUMENTS	1		
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATIO YES/NO
~	99/40851	8/19/99	PCT			
	99/40868	8/19/99	PCT			
	99/45848	9/16/99	PCT			
	99/52481	10/21/99	PCT		7	
$\perp \perp \perp \perp$	99/62406	12/9/99	PCT		/	
	99/62409	12/9/99	PCT		7	
	99/62415	12/9/99	PCT			
	99/63910	12/16/99	PCT			
V	99/65409	12/23/99	PCT	1		
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